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FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE Bernard Krone F-7257 10/018,306 07/29/2002 28107 09/18/2003 7590 JORDAN AND HAMBURG LLP **EXAMINER** 122 EAST 42ND STREET TORRES, ALICIA M **SUITE 4000** NEW YORK, NY 10168 ART UNIT PAPER NUMBER 3671 DATE MAILED: 09/18/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | :                 |                   |  | SIN |
|---|-------------------|-------------------|--|-----|
|   | Арр               | lication No.      | Applicant(s)                                   |     |
|   |                   | 018,306           | KRONE ET AL.                                   |     |
| Offic Action Sumi   | mary Exa          | miner             | Art Unit                                       |     |
| n ,   | Alici             | a M Torres        | 3671   |     |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |                   |                   |  |     |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply sepecified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filled, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status |                   |                   |  |     |
| 1) Responsive to communication  | ation(s) filed on |                   |  |     |
| 2a) ☐ This action is FINAL.   | 2b)⊠ This act     | ion is non-final. |  |     |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims   |                   |                   |  |     |
| 4) Claim(s) is/are pending in the application.  |                   |                   |  |     |
| 4a) Of the above claim(s) is/are withdrawn from consideration.  |                   |                   |  |     |
| 5) Claim(s) is/are allowed.   |                   |                   |  |     |
| 6)⊠ Claim(s) <u>1-4</u> is/are rejected.  |                   |                   |  |     |
| 7)⊠ Claim(s) <u>5-10</u> is/are objected to.  |                   |                   |  |     |
| 8) Claim(s) are subject to restriction and/or election requirement.   |                   |                   |  |     |
| Application Papers  |                   |                   |  |     |
| 9) The specification is objected to by the Examiner.  |                   |                   |  |     |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  |                   |                   |  |     |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |                   |                   |  |     |
| 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.  |                   |                   |  |     |
| If approved, corrected drawings are required in reply to this Office action.  |                   |                   |  |     |
| 12) ☐ The oath or declaration is objected to by the Examiner.   |                   |                   |  |     |
| Priority under 35 U.S.C. §§ 119 and 120   |                   |                   |  |     |
| 13)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  |                   |                   |  |     |
| a)⊠ All b)□ Some * c)□ None of:   |                   |                   |  |     |
| 1. Certified copies of the priority documents have been received.   |                   |                   |  |     |
| 2. Certified copies of the priority documents have been received in Application No  |                   |                   |  |     |
| <ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |                   |                   |  |     |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  |                   |                   |  |     |
| <ul> <li>a) The translation of the foreign language provisional application has been received.</li> <li>15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>   |                   |                   |  |     |
| Attachment(s)   |                   |                   |  |     |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing 3) Information Disclosure Statement(s) (P  |                   |                   | y (PTO-413) Paper No<br>Patent Application (PT |     |

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# Information Disclosure Statement

1. The listing of references in the specification is not a proper information disclosure statement. 37 CFR 1.98(b) requires a list of all patents, publications, or other information submitted for consideration by the Office, and MPEP § 609 A(1) states, "the list may not be incorporated into the specification but must be submitted in a separate paper." Therefore, unless the references have been cited by the examiner on form PTO-892, they have not been considered. DE 33 24 899 C2 has not been submitted in a separate paper.

# Claim Objections

2. Claims 5-10 are objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim cannot depend from any other multiple dependent claim. See MPEP § 608.01(n). Accordingly, the claims have not been further treated on the merits.

#### **DETAILED ACTION**

# Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 4. Claim 1 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the

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"invention. Applicant has given no indication in the specification as to what, quantitatively, is considered "the standard distance between rows of harvested products cultivated, in a standardized manner."

5. Claim 3 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The word "especially" appearing in line 5 has made it unclear as to whether or not claim 3 is dependent on claims 1 and 2 or merely references the harvesting equipment such as that of claims 1 and 2.

# Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 7. Claims 1-4 are rejected under 35 U.S.C. 102(b) as being anticipated by Morgan et al., hereafter Morgan.

Morgan discloses harvesting equipment (1) for harvesting corn or similar stalk-like harvested products, the harvesting equipment (1) having at least one link chain (see figure 5), which is provided with holding means (155, 156, 178, 179) for the cut-down harvested products, and has a tight side (forward facing side) which can be moved when in use, transversely to the driving direction of the harvesting equipment (1), wherein the extent of an element of the link

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'chain (unnumbered), measured in the revolving direction, essentially corresponds to a whole number divider of a standard distance between rows of harvested products cultivated, in a standardized manner, as per claim 1; and

Wherein the standardized distance between rows corresponds to four times the extent of chain elements in the revolving direction, as per claim 2;

Morgan discloses harvesting equipment (1) for corn or similar stalk-like harvested products, the harvesting equipment (1) comprising at least one link chain (30) which is provided with holding means (155, 156, 178, 179) for the cut-off harvested products and having a tight side (front side) which, in use, can be moved transversely to the driving direction of the harvesting equipment (1) of one of claims 1 or 2, wherein the chain elements, as uniform function bodies, are provided with outwardly pointing cutting means (14) and/or holding means (155, 156, 178, 179), as per claim 3; and

Wherein the function bodies have three planes of which a lower one is constructed as a cutting plane (14) and two planes lying parallel above, are constructed as holding planes (155, 156, 178, 179) for the severed harvested products, as per claim 4.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Krone et al., Herron et al., and van Amstel have been cited as of interest.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alicia M. Torres whose telephone number is 703-305-6953. The examiner can normally be reached Monday through Thursday from 7:00 a.m. – 4:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas B. Will, can be reached at 703-308-3870.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the group receptionist whose telephone number is 703-305-1113. The fax number for this Group is 703-872-9306.

Thomas B. Will Supervisory Patent Examiner Group Art Unit 3671

AMT September 11, 2003